

COMMISSIONER FOR PATENTS
Alexandria, VA 22313-1450



SERIAL NO. 09/996,131

IBM DOCKET NO. AUS920010638US1

DATE: June 4, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : Group Art Unit: 2825
Sweitzer, et. al. : Intellectual Property Law Department
Serial No.: 09/996,131 : International Business
Filed: November 28, 2001 : Machines Corporation
Title: System and Method for Developing : Intellectual Property Law Dept.
Topography Based Management : 11400 Burnet Road
Systems

Austin, Texas 78758

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

RECEIVED

The Commissioner of Patents
Washington, D.C. 20231

JUN 14 2004

Sir:

Technology Center 2100

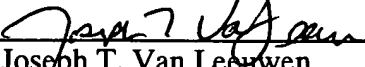
Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

This Supplemental Information Disclosure Statement is believed to be timely pursuant to 37 CFR § 1.97(b), therefore no additional fees are believed to be necessary. If, however, additional fees are deemed to be necessary, the Commissioner is hereby authorized to charge such fees to IBM's deposit account No. 09-0447.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By 
Joseph T. Van Leeuwen
Attorney for Applicant
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Alexandria, VA 22313-1450

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SERIAL NO. 09/996,131

IBM DOCKET NO. AUS920010638US1

DATE: June 4, 2004

Sir:

Assignee Name: International Business Machines Corporation
Assignee Residence: Armonk, New York

Transmitted herewith for filing is the Patent Application of:

Inventors: Sweitzer, et al.

For: System and Method for Developing Topography Based Management Systems

Enclosed are:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Modified form 1449 listing 9 reference(s);
- ☒ copies of any non-U.S. Patent references listed on form 1449.

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37 CFR § 1.97 is satisfied by the following:

- ☒ This Supplemental IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. **A duplicate copy of this sheet is enclosed.**
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. **A duplicate copy of this sheet is enclosed.**
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

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Certificate of Mailing Under C.F.R. §1.8(a)

I hereby certify that this correspondence was sent via First Class Mail to the United States Patent Office in an envelope with sufficient postage addressed to Commissioner for Patents, Alexandria, VA 22313-1450 on June 4, 2004.

By: Joseph T. Van Leeuwen
Joseph T. Van Leeuwen

6/7/04
Date

In Place of FORM PTO-1449 (Modified)

Serial No. 09/996,131

**LIST OF PATENTS AND PUBLICATIONS
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Applicant: Sweitzer, et. al.

Filing Date: 11/28/2001

Group: 2825

Atty. Docket No. AUS920010638US1

U. S. PATENT DOCUMENTS

Examiner <u>Initial</u>	<u>Document</u> <u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Filing Date if</u> <u>Appropriate</u>
_____	AA 2002/0042751	Apr. 2002	Sarno	705	26	
_____	AB 6,662,357	Dec 2003	Bowman-Amuah	717	120	
_____	AC 2002/0191014	Dec 2002	Hsieh et al.	345	738	
_____	AD 6,370,578	Apr 2002	Revashetti et al.	709	224	
_____	AE 6,700,590	Mar 2004	DeMesa et al.	345	744	
_____	AF 2002/0120917	Aug 2002	Abrari et al.	717	110	
_____	AG 6,151,707	Nov 2000	Hecksel et al.	717	178	
_____	AH 2002/0169658	Nov 2002	Adler	705	10	
_____	AI					
_____	AJ					
_____	AK					
_____	AL					

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FOREIGN PATENT DOCUMENTS

Examiner <u>Initial</u>	<u>Document</u> <u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Translation</u> <u>Yes No</u>
_____	AM EP0869449	Oct 1998	European	17	50	Yes
_____	AN					
_____	AO					
_____	AP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner <u>Initial</u>

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.